

APPENDIX 1

Audit work undertaken in the period to 30/3/2022

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Blackwood Primary School	Establishment Audit	Effective with opportunity to improve	15/11/2019	01/04/2021
NFI data match - Duplicate payments	Regularity	Effective with opportunity to improve	15/01/2020	08/04/2021
NFI match Duplicated creditor records	Regularity	Effective with opportunity to improve	15/01/2020	08/04/2021
Trinant Primary School	IT Audit	Effective with opportunity to improve	11/02/2020	30/09/2021
Aberbargoed Primary School	IT Audit	In need of improvement	19/02/2020	30/09/2021
Ysgol Ifor Bach	IT Audit	Effective with opportunity to improve	21/02/2020	30/09/2021
Gilfach Fargoed Primary School	IT Audit	Effective with opportunity to improve	27/02/2020	30/09/2021
Ysgol Gymraeg Cwm Derwen	IT Audit	Inadequate	27/02/2020	30/09/2021
Coed Y Brain Primary School	IT Audit	In need of improvement	02/03/2020	30/09/2021
Crumlin High Level Primary School	IT Audit	Inadequate	03/03/2020	30/09/2021
Blackwood Primary School	IT Audit	Effective	05/03/2020	30/09/2021
Machen Primary School	IT Audit	Effective with opportunity to improve	09/03/2020	30/09/2021
Deri Primary School	IT Audit	In need of improvement	09/03/2020	30/09/2021
Fleur De Lys Primary School	IT Audit	Inadequate	09/03/2020	30/09/2021
Glyngaer Primary School	IT Audit	Effective with opportunity to improve	09/03/2020	30/09/2021
Libanus Primary School	IT Audit	Inadequate	10/03/2020	30/09/2021
Pengam Primary School	IT Audit	Inadequate	11/03/2020	30/09/2021
Pontllanfraith Primary School	IT Audit	Effective with opportunity to improve	11/03/2020	30/09/2021
Penllwyn Primary School	IT Audit	Effective with opportunity to improve	11/03/2020	30/09/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Llanfabon Infants	IT Audit	In need of improvement	11/03/2020	30/09/2021
Cwmfelinfach Primary School	IT Audit	Inadequate	16/03/2020	30/09/2021
Rhydri Primary School	IT Audit	In need of improvement	16/03/2020	30/09/2021
Risca Primary School	IT Audit	Effective with opportunity to improve	16/03/2020	30/09/2021
Corporate Safeguarding self evaluation - Education and Corporate Services	Crosscutting Review	Issued in draft to HoS	02/02/2021	O/S
School Private Fund Review	Themed Review	In need of improvement	19/02/2021	06/09/2021
Review of AP forensics processes	System Audit	Effective	15/03/2021	15/04/2021
Bryn Primary	Control Risk Self Assessment (CRSA)	Effective	16/03/2021	17/05/2021
Cefn Fforest Primary	CRSA	Effective	19/03/2021	14/06/2021
Central Creditors and PL Internal Controls	System Audit	Issued in draft	19/03/2021	16/2/22
Central Income management	System Audit	Effective	22/03/2021	17/05/2021
Penllwyn Primary Control risk self assessment	CRSA	Effective	22/03/2021	27/05/2021
Lewis School Pengam	CRSA	Effective	24/03/2021	27/05/2021
Trinity Fields	CRSA	Effective	24/03/2021	18/06/2021
Ysgol Ifor Bach	CRSA	Effective	24/03/2021	06/07/2021
St Helens Primary	CRSA	Effective with opportunity to improve	29/03/2021	17/05/2021
Tir Y Berth Primary	CRSA	Effective with opportunity to improve	29/03/2021	17/05/2021
Deri Primary	CRSA	Effective with opportunity to improve	29/03/2021	27/05/2021
Central Debtors income	System Audit	Effective	31/03/2021	29/04/2021
School 1 Special Investigation	Special Investigation	Inadequate	08/04/2021	21/2/22
Newbridge Comprehensive	CRSA	Effective	12/04/2021	06/07/2021
Plas Y Felin Primary	CRSA	Effective with opportunity to improve	19/04/2021	27/05/2021
Blackwood Comprehensive	CRSA	Effective	20/04/2021	17/05/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Community Hydro	Grant certification	N/A Grant certification	20/04/2021	17/06/2021
Ysgol Gymraeg Penalltau	CRSA	In need of improvement	20/04/2021	15/10/21
Bedwas Juniors	CRSA	Effective with opportunity to improve	28/04/2021	27/05/2021
Ysgol Gymraeg Cwm Derwen	CRSA	Effective	28/04/2021	06/07/2021
Ynysddu Primary	CRSA	Effective with opportunity to improve	29/04/2021	27/05/2021
Crumlin High Level	CRSA	Effective with opportunity to improve	30/04/2021	19/05/2021
Derwendeg Primary	CRSA	Effective	05/05/2021	27/05/2021
PCI	Regularity	In need of improvement	05/05/2021	18/5/21
Go Connect Virtual Inclusion Programme no 1	Grant certification	N/A Grant certification	11/05/2021	19/05/2021
Cwmfelinfach Primary	CRSA	In need of improvement	12/05/2021	23/06/2021
NFI 707 Duplicate record by reference, amount and creditor reference	Crosscutting Review	Effective	19/05/2021	17/05/2021
NFI 708 Duplicate record by creditor reference and amount	Regularity	Effective	19/05/2021	19/05/2021
NFI 711 Duplicate record by differing creditor reference same amount invoice number	Regularity	Effective	19/05/2021	19/05/2021
NFI 712 Duplicate supplier records by invoice amount and different creditor reference	Regularity	Effective	19/05/2021	19/05/2021
NFI 2020/21 713 Duplicate supplier records by postcode	Regularity	Effective	19/05/2021	19/05/2021
NFI 709 - VAT Overpayment	Regularity	Effective with opportunity to improve	19/05/2021	28/4/2022
SR218 Rose Cottage Retaining Wall	Contract Audit	N/A Contract Certificated	21/05/2021	25/05/2021
CH Brickwork Porset Park	Contract Audit	N/A Contract Certificated	10/06/2021	17/06/2021
Rents - Collection, Recovery and Administration	CRSA	Effective	15/06/2021	22/06/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Bryn Awel Primary	CRSA	Effective with opportunity to improve	15/06/2021	08/07/2021
Regener8 Cymru	Grant certification	N/A Grant certification	18/06/2021	11/06/2021
Ty Isaf Infants	CRSA	In need of improvement	18/06/2021	06/07/2021
Cwm Ifor Primary	CRSA	Effective with opportunity to improve	18/06/2021	08/07/2021
Phillipstown Primary	CRSA	Effective with opportunity to improve	22/06/2021	23/06/2021
Markham Primary	CRSA	In need of improvement	22/06/2021	25/06/2021
Tyn Y Wern Primary	CRSA	In need of improvement	22/06/2021	05/07/2021
Cwmglas Infants	CRSA	Inadequate	22/06/2021	08/07/2021
Greenhill Primary	CRSA	Effective with opportunity to improve	22/06/2021	23/07/2021
Nant Y Park Primary	CRSA	Effective with opportunity to improve	24/06/2021	17/08/2021
Regener8ing Cymru May 21	Grant certification	N/A Grant certification	02/07/2021	07/07/2021
IT user access Audit	Regularity		06/07/2021	
Abercarn Primary	CRSA	Effective	07/07/2021	03/08/2021
Porset Park GKR	Contract Audit	N/A Contract Certificated	08/07/2021	06/08/2021
Bevan Rise-Close Davies Bros	Contract Audit	N/A Contract Certificated	13/07/2021	06/08/2021
Cwmaber Infants	CRSA	Effective with opportunity to improve	15/07/2021	14/10/21
Cwmaber Juniors	CRSA	Effective with opportunity to improve	16/07/2021	15/10/21
EXT17C-EC27 Pengam RGPO1062 1263 / GEN1001 108 GKR Maintenance	Contract Audit	N/A Contract Certificated	26/07/2021	11/08/2021
EXT17C-EC23 Fairview RGPO1062 8867 / GEN1001 143 GKR Maintenance	Contract Audit	N/A Contract Certificated	27/07/2021	11/08/2021
Cwmcarn Primary School	CRSA	Effective	29/07/2021	20/10/21
Bedwas Infants	CRSA	Effective with opportunity to improve	29/07/2021	10/11/21

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
EXT17C-E03A Blackwood B RGPO1062 1209 / GEN 1001 112 LCB Construction Ltd	Contract Audit	N/A Contract Certificated	02/08/2021	11/08/2021
EXT16-U14 & U15 combined contracts Gilfach & Bargoed RGPO1062 8864 / GEN1001 144 MSH Building	Contract Audit	N/A Contract Certificated	03/08/2021	23/08/2021
Fleur De Lys Primary	CRSA	Inadequate	11/08/2021	23/08/2021
Hengoed Primary	CRSA	Effective	11/08/2021	21/2/2022
Pengam Primary	CRSA	Inadequate	12/08/2021	23/08/2021
Flood mitigation	Contract Audit	N/A Contract Certificated	13/08/2021	23/08/2021
SL119 A467 Newbridge to Crumlin – Rock Slope Remediation Scheme	Contract Audit	N/A Contract Certificated	13/08/2021	23/08/2021
Pantside Primary	CRSA	Effective	16/08/2021	7/2/2022
Pentwynmawr Primary	CRSA	Effective with opportunity to improve	16/08/2021	21/2/2022
Ysgol Cwm Gwyddon	CRSA	Effective	17/08/2021	O/S
Tynewydd Primary	CRSA	Effective	17/08/2021	7/2/2022
SO571 – Capital Valley Ind. Est. Culvert .	Contract Audit	N/A Contract Certificated	23/08/2021	25/08/2021
Ysgol Y Castell	CRSA	Effective with opportunity to improve	01/09/2021	18/4/2022
Ty Sign Primary	CRSA	Effective	01/09/2021	17/3/2022
4667 - Bedwas Infants, Boiler Replacement	Contract Audit	N/A Contract Certificated	02/09/2021	13/09/2021
Waunfawr Primary	CRSA	Effective with opportunity to improve	03/09/2021	18/5/2022
EXT17C-LC20 Lansbury Park Phase 1	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
4747 - Ysgol Bro Sannan, Boiler Replacement	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
4745 - St Cenydd 6th Form Block, Boiler Replacement	Contract Audit	N/A Contract Certificated	08/09/2021	13/09/2021
EXT17C-LC20B Lansbury Park Phase 2	Contract Audit	N/A Contract Certificated	08/09/2021	23/11/2021
Supporting people grant	Grant certification	N/A Grant certification	13/09/2021	30/09/2021
Education Improvement Grant (EIG)	Grant certification	N/A Grant certification	16/09/2021	20/09/2021

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Pupil Deprivation Grant (PDG)	Grant certification	N/A Grant certification	16/09/2021	20/09/2021
Baseline Personnel Security Standards (BPSS)	Regularity	In need of improvement	21/09/2021	15/10/2021
Ysgol Gymraeg Bro Allta	CRSA	In need of improvement	24/09/2021	10/5/2022
Maesycymmer Primary	CRSA	Effective with opportunity to improve	19/10/2021	27/01/2022
Upper Rhymney Primary school	CRSA	Effective with opportunity to improve	17/01/2022	06/05/2022
St Gwladys Primary School	CRSA	Effective	3/12/2022	01/04/2022
Graig y Rhacca Primary School	CRSA	In need of improvement	23/11/2021	11/05/2022
Data protection e-learning and training records	System review	In need of improvement	19/5/2021	28/4/2022
Regener8ing Cymru June 21	Grant certification	N/A Grant certification	01/11/2021	8/11/2021
Rec Rock May 21 no 1	Grant certification	N/A Grant certification	12/10/2021	12/10/2021
Go Connect Virtual Inclusion Programme April – June no 2	Grant certification	N/A Grant certification	12/10/2021	13/10/2021
The Twyn Primary	CRSA	Effective with opportunity to improve	5/11/2021	4/4/2022
Rhiw Syr Dafydd Primary	CRSA	Effective with opportunity to improve	19/11/2021	3/3/2022
YGG Caerfilli	CRSA	Effective with opportunity to improve	31/1/2022	3/3/2022
Hendre Infants	CRSA	In need of improvement	19/10/2021	4/4/2022
Hendre Juniors	CRSA	Effective with opportunity to improve	23/11/2021	17/2/2022
Coed Y Brain Primary School	CRSA	Effective with opportunity to improve	15/10/2021	01/04/2022
St James primary School	CRSA	Inadequate	27/10/2021	04/03/2022
Regener8ing Cymru August 21	Grant certification	N/A Grant certification	14/10/2021	16/10/2021
Llanceach Junior School	CRSA	Inadequate	27/10/2021	17/02/2022

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Machen Primary School	CRSA	Effective with opportunity to improve	4/11/2021	23/3/2022
Rhydri Primary School	CRSA	In need of improvement	5/11/2021	21/30/2022
Glyngaer Primary School	CRSA	Effective with opportunity to improve	4/11/2021	3/12/2021
YG Trelyn	CRSA	Effective with opportunity to improve	23/12/2021	17/05/2022
Llanfabon Infants	CRSA	Effective with opportunity to improve	15/11/2021	21/3/2022
Aberbargoed Primary School	CRSA	Effective with opportunity to improve	22/22/2021	12/4/2022
Fochriw Primary School	CRSA	Effective with opportunity to improve	1/12/2021	4/3/2022
Ystrad Mynach Primary	CRSA	Effective with opportunity to improve	6/12/2021	14/2/2022
Hendredenny Park Primary	CRSA	Effective with opportunity to improve	13/10/2021	22/4/2022
Libanus Primary School	CRSA	Effective with opportunity to improve	15/11/2021	4/4/2022
White Rose Primary	CRSA	In need of improvement	15/11/2021	28/02/2022
Ysgol y Lawnt	CRSA	Effective with opportunity to improve	20/20/2021	31/3/2022
Blackwood Primary School	CRSA	Effective	19/10/21	25/1/2022
Gilfach Fargoed Primary School	CRSA	Effective with opportunity to improve	15/11/2021	4/3/2022
Park Primary	CRSA	Effective with opportunity to improve	15/11/2021	4/3/2022
Pontllanfraith Primary School	CRSA	Effective with opportunity to improve	1/12/2021	31/3/2022
Trinant Primary School	CRSA	Effective with opportunity to improve	23/22/2021	9/3/2022
Crosskeys Boiler replacement	Contract Audit	N/A Contract Certificated	4/10/2021	18/10/2021
Heolddu Comprehensive	CRSA	Effective	3/3/2022	8/3/2022

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
Rec Rock June/July /August 21 No 2	Grant certification	N/A Grant certification	18/10/2021	11/02/2022
Regener8ing Cymru September 21	Grant certification	N/A Grant certification	2/11/2021	10/11/2021
Penmaen River bank erosion	Contract Audit	N/A Contract Certificated	17/12/2021	14/02/2022
WHQS 11b Pontlloftyn	Contract Audit	N/A Contract Certificated	9/11/2021	17/01/2022
NFI student loans/HB	Regularity	Effective	9/11/2021	7/3/2022
NFI HB various HB matches 28.1, 32.1, 49.1	Regularity	Effective	24/2/2022	7/3/2022
NFI Payroll matches 66, 67	Regularity	Effective	20/9/2021	24/5/2022
NFI Blue badge matches	Regularity	Effective	8/11/2021	24/5/2022
NFI Council Tenants to HMRC income / capital	Regularity	In need of Improvement	18/2/2022	5/4/2022
NFI CTRS to HMRC	Regularity	In need of Improvement	28/10/2021	25/5/2022
NFI Duplicate purchase ledger records	Regularity	Effective with opportunity to improve	1/4/2021	25/5/2022
NFI Payroll / Companies House	Regularity	Effective	16/2/2022	28/3/2022
Idris Davies School	CRSA	Effective with opportunity to improve	5/1/2022	17/02/2022
YGCwm Rymni	CRSA	Effective with opportunity to improve	5/1/2022	28/2/2022
Lewis Girls school	CRSA	Effective with opportunity to improve	6/1/2022	28/1/2022
Cwrt Rawlin Primary School	CRSA	In need of improvement	14/3/2022	20/5/2022
George St Steps	Contract Audit	N/A Contract Certificated	1/2/2022	16/2/2022
WHQS 18c	Contract Audit	N/A Contract Certificated	8/2/2022	16/2/2022
WHQS External Works Mount St Pontlloftyn	Contract Audit	N/A Contract Certificated	11/2/2022	22/2/2022
Rec Rock No 3	Grant certification	N/A Grant certification	16/2/2022	3/3/2022
Rec Rock No 4	Grant certification	N/A Grant certification	21/2/2022	3/3/2022
Go Connect Virtual Inclusion Programme no 3	Grant certification	N/A Grant certification	21/2/2022	3/3/2022
WHQS Rudry Trapwell	Contract Audit	In need of improvement	22/3/2022	22/4/2022

Audit Name	Audit Type	Audit Opinion	Audit Started	Audit Completed
T1095 Nantgarw Cemetery	Contract Audit	N/A Contract Certificated	24/3/2022	22/4/2022
NFI NNDR Covid grant matches	Regularity	Effective	10/3/2022	16/3/2022
Covid 19 business grants	System compliance	Effective	10/3/2022	20/4/2022
Go Connect Virtual Inclusion Programme no 4 Final	Grant certification	N/A Grant certification	8/3/2022	16/3/2022
NFI match 120	Regularity	Effective	12/1/2022	12/5/2022
TH1321 Traffic signs	Contract Audit	N/A Contract Certificated	28/3/2022	12/5/2022